

**18th Stanley Plotkin Lecture:
Advancing CMV Vaccine Strategies:
Eliminating preventable life-long disabilities**

**Sallie Permar, MD, PhD
Nancy C Paduano Professor and Chair, Dept of Pediatrics
Weill Cornell Medicine**

15 May, 2026

General objectives for the lecture :

- Review the historical landscape of CMV vaccine programs and trials
- Describe the immune correlates of protection against congenital CMV in human cohorts and non-human primate models
- Describe rationale design approaches for CMV vaccines

I am a consultant for Moderna, Dynavax, Kamada, Merck, and Pfizer CMV programs, and a CMV educator for Medscape



CMV Research Collaborators

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UMass Chan Medical School

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Zhiquan An, Jason McLellan

Weill Cornell Medicine

Sallie Permar, Claire Otero, Chelsea Crooks, Erica Garcia, Kritihika Karthegeyan, Hsuan-Yuan Wang, Carolyn Weinbaum, Husam Taher, Brittany Plummer + RARC!

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Klaus Früh, Daniel Malouli

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Hartmut Hengel, Philipp Kolb, Sophia Petkova, Martin Ebermann, Magdalena Huber

Moderna

Kai Wu, Jacob Hsu

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Koen Van Rompay, Alice Tarantal, Kimberly Schmidt



*Special Thanks

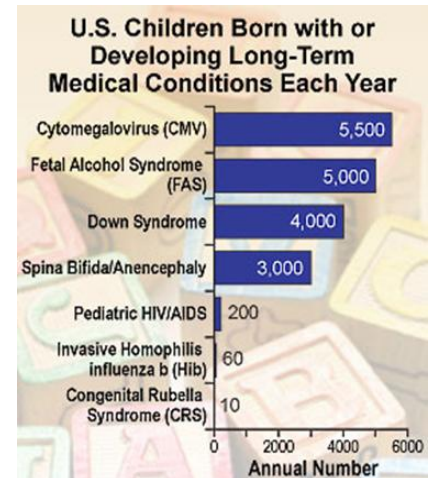
Chris Beisel and Rajeev Gautam, *Program Officers*, *Peter Barry, *Scientific Advisory Board Members*



Congenital Cytomegalovirus (CMV)

- **Most common congenital infection, cause of birth defects and brain damage**
 - 1/200 (0.5%) live-born infants globally (~700,000 annually)
 - Up to 25% will have permanent disabilities (~175,000 annually)
 - Higher incidence in low SES and marginalized populations
- **Leading infectious cause of pediatric hearing loss**
 - Up to 25% of infant hearing loss due to cCMV
- **Associated with B-cell ALL risk**
(Wiemels et al. 2019; Francis et al. 2017)
- **CMV associated with fatal pneumonia and atopy**
(Mahtab et al, 2024; van Meel et al, 2020; Sbihi et al, 2022)
- **Associated with ADHD and autism**
(Pesch et al. 2024; Lin et al, 2021; Topham et al 2019; Borbye-Lorenzen et al, 2025; Fang et al, 2024)

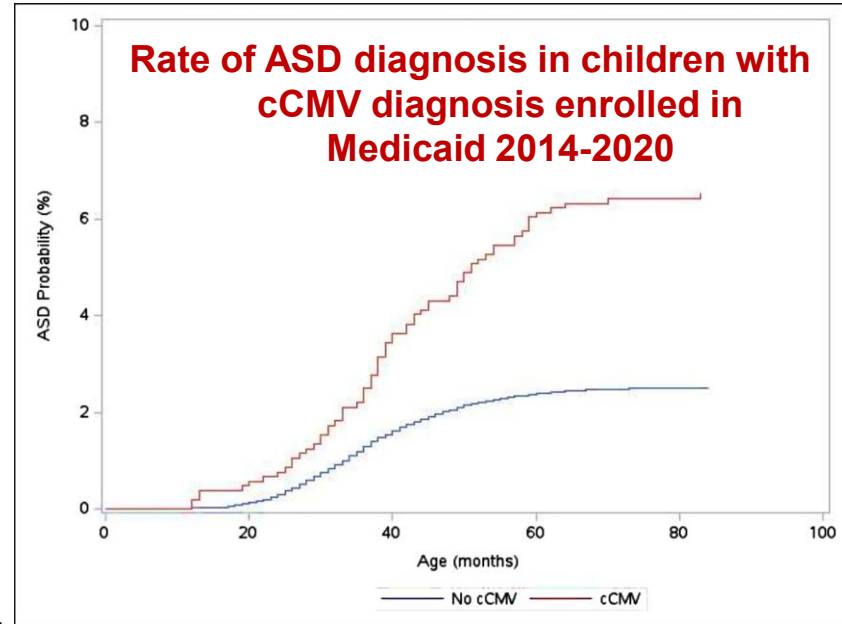
#1 priority vaccine >20 years (Natl Academy of Med)



Congenital CMV and Autism

- Congenital CMV infection is associated with risk of autism
 - Autism 5–15× higher in cCMV
- CMV infects the developing fetal brain and induces **neuroinflammation, microglial activation, neuronal loss, and disrupted cortical organization** during critical windows of development.

(Pesch et al. 2024; Lin et al, 2021; Topham et al 2019; Borbye- Lorenzen et al, 2025; Fang et al, 2024)



Pesch et al. 2024

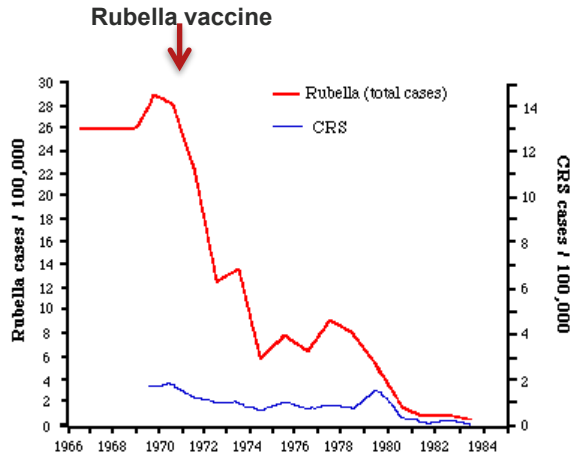


Dr. Megan Pesch



Impact of a CMV vaccine would mirror that of the rubella vaccine

Rubella vaccine eliminated congenital rubella syndrome in Americas



Dr. Stanley Plotkin,
Developer of rubella vaccine
Pediatrician-Scientist

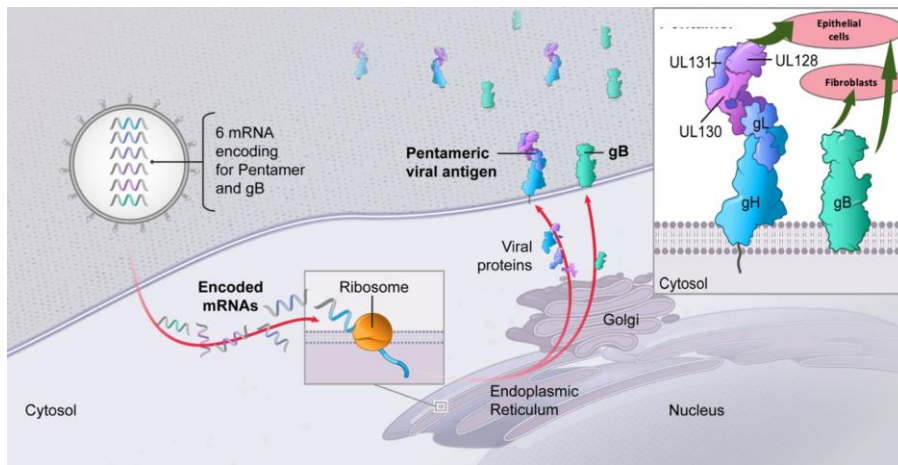
“Introduction of the highly-effective rubella vaccine in 1969 led to the closure of a schools for the deaf and blind due to lack of children needing these services”

- Sam Katz, MD (Co-developer of measles vaccine, Pediatrician-Scientist)

60+ years of CMV vaccine development, yet we still await an approved vaccine

Vaccine Platform	Phase	Efficacy
Live attenuated	Phase I,II	Reduction of disease in renal tx
Live viral vectors	Phase I	-
gB subunit	Phase I,II	50%
eVLP	Phase I	-
Single round DISC vectors	Phase I,II	42%
gB + PC subunit/MVA	Phase I	-
DNA	Phase I,II	ongoing
mRNA (gB + PC)	Phase I,II,III	6-23%

Phase III HCMV vaccine efficacy trial: mRNA-1647 HCMV glycoprotein B (gB) and pentameric complex (PC) antigens



moderna

CMVictory

- 8,000 seronegative women aged 16-40 enrolled in US and Europe
- No major safety events
- Final efficacy = 6-23%

Gap in knowledge impeding development of a CMV vaccine:

What maternal immune responses are protective against maternal acquisition and/or congenital CMV transmission?

Mother-infant cohort studies



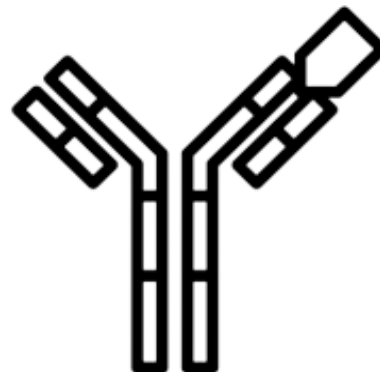
Nonhuman primate model



Vaccine immune correlates



Reverse vaccinology

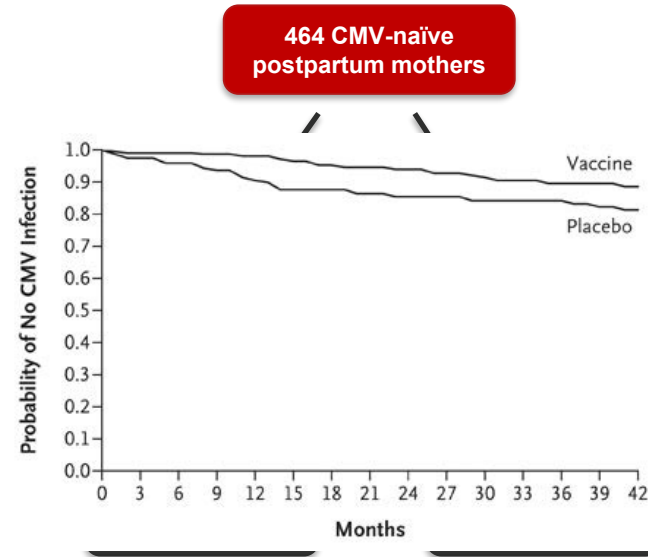
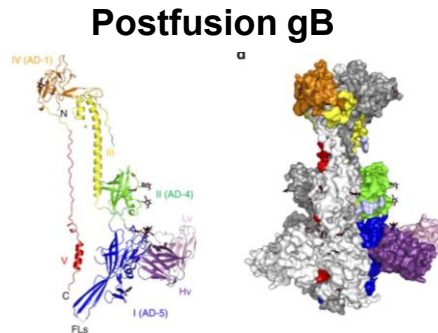


What are the immune correlates of protection against HCMV acquisition in partially-protective vaccine trials?



HCMV gB/MF59 vaccine was 50% protective against maternal CMV acquisition in 2 Phase 2B trials

- Most efficacious CMV vaccine to-date
- 2 phase IIb trials: seronegative, postpartum and adolescent women
- Immunized with gB (Sanofi) (20 μ g) + MF59 squalene adjuvant (Novartis) at 0, 1, 6 mos
- Primary Endpoint: Time to HCMV acquisition

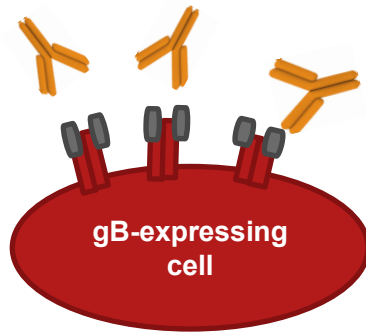


→50% Efficacy in preventing maternal primary infection (p = 0.02)

→45% Efficacy in prevention of adolescent infection (p = 0.08)

(Bernstein, *et al.* Vaccine, 2016, Pass, *et al.* NEMJ, 2009)

Combined gB/MF59 vaccine trial immune correlate: binding to native-like gB



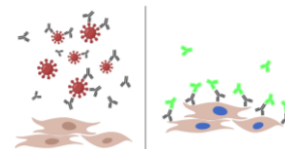
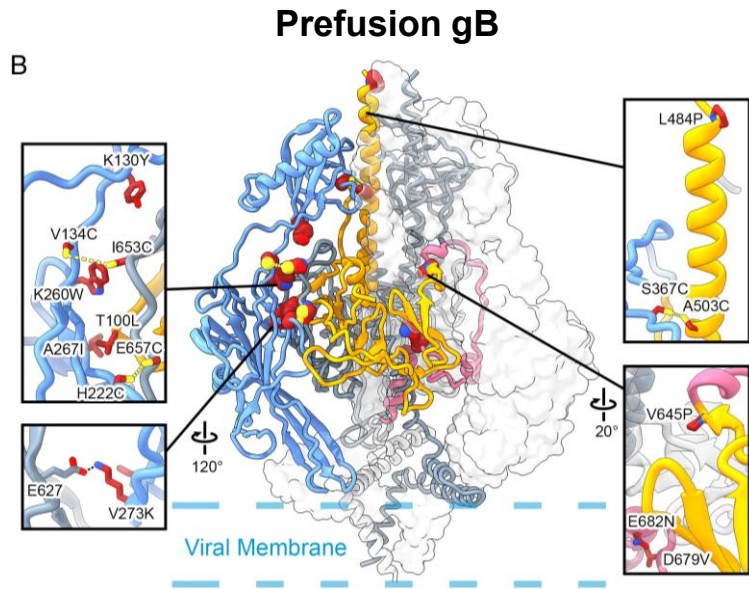
	Assay	p	FDR
1	gB-transfected cell IgG binding (% binding)	0.008	0.190
2	Full-length gB IgG binding (LogMFI)	0.04	0.307
3	gB Domains I+II IgG binding (LogMFI)	0.04	0.307
4	gB ectodomain IgG binding (LogMFI)	0.058	0.334
5	ADCP of AD169r-GFP (% phagocytosis)	0.115	0.385
6	ADCP of TB40/E (% phagocytosis)	0.122	0.385
7	gB Domain II IgG binding (LogMFI)	0.135	0.385
8	gB IgG binding (Log AUC)	0.146	0.385
9	gB-specific IgG1 subclass (LogMFI)	0.151	0.385
10	gB Domain I IgG binding (LogMFI)	0.169	0.389
11	Whole virion (TB40/E) IgG Avidity (RAI)	0.205	0.395
12	FcγR3a V158 binding to gB-specific IgG (LogMFI)	0.206	0.395
13	FcγR1a binding to gB-specific IgG (LogMFI)	0.294	0.507
14	FcγR2b binding to gB-specific IgG (LogMFI)	0.309	0.507
15	Whole virion (TB40/E) IgG binding (LogAUC)	0.354	0.513
16	FcγR2a H131 binding to gB-specific IgG (LogMFI)	0.357	0.513
17	gB IgG Avidity (RAI)	0.451	0.610
18	gB AD-1 IgG binding (LogMFI)	0.529	0.677
19	gB-specific IgG3 subclass (LogMFI)	0.559	0.677
20	Neutralization of Towne virus on MRC5 (LogID ₅₀)	0.728	0.837
21	gB-specific IgG2 subclass (LogMFI)	0.809	0.887
22	gB-specific IgG4 subclass (LogMFI)	0.93	0.948
23	gB AD-2 Site 1 IgG binding (LogMFI)	0.948	0.948



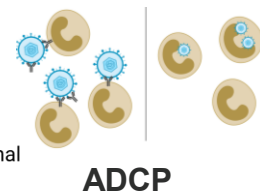
Jenny Jenks, MD, PhD

Jenks, Chan, Permar et al, Sci Transl Med 2020

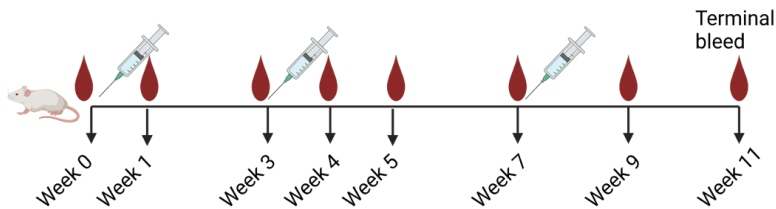
Pre-fusion gB as vaccine immunogen did not elicit superior anti-viral humoral immunity



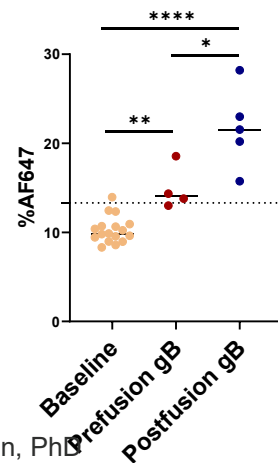
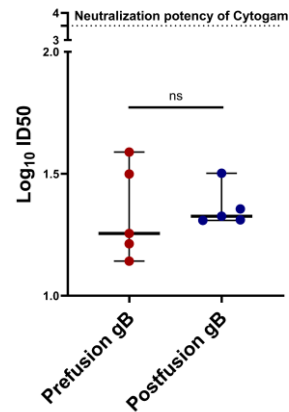
Neutralization
(fibroblast, in the presence of complement)



ADCP



Heterologous CMV strain (AD169r)+ Complement

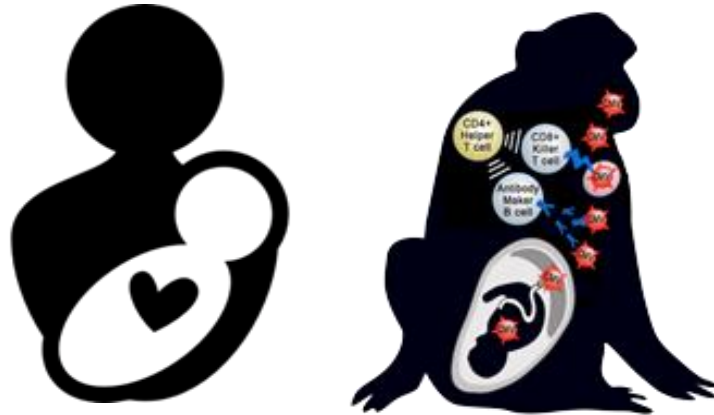


Sponholtz, McLellan et al, PNAS, 2024

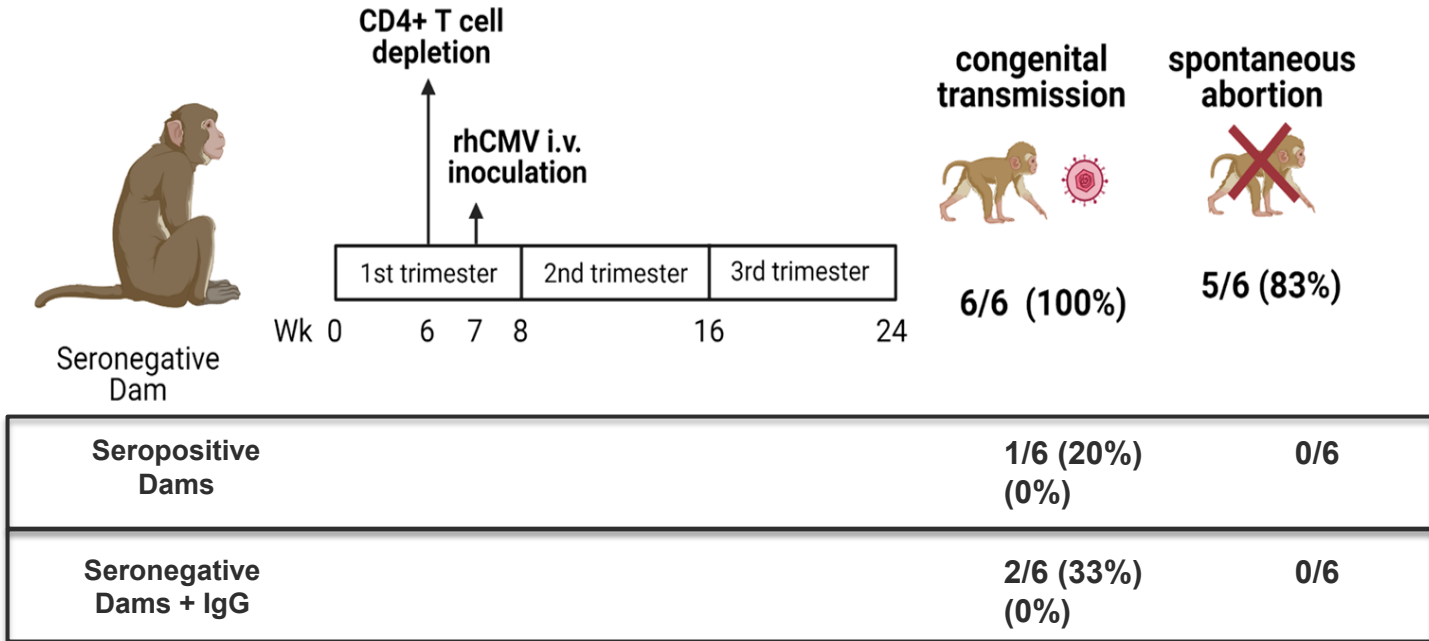


Krithika Karthegeyan, PhD

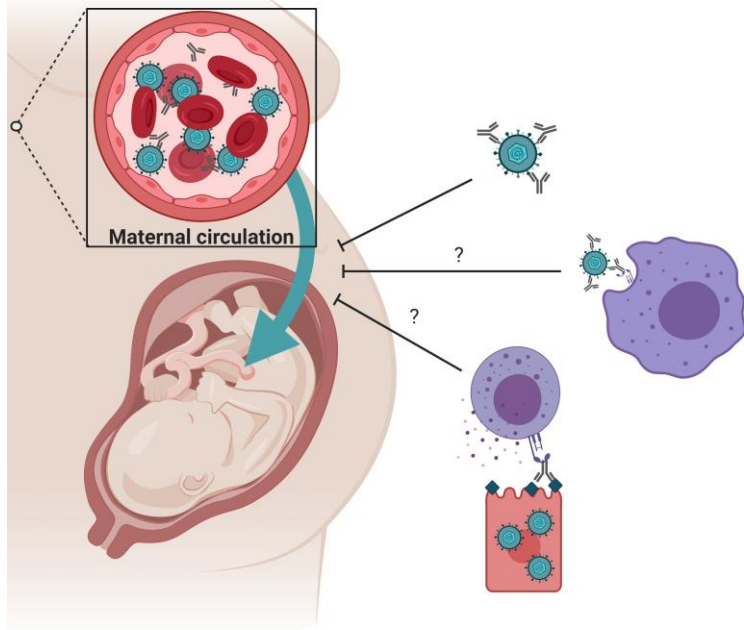
What immune responses are required to protect against congenital CMV?



Pre-existing maternal IgG responses protective against cCMV in a high-risk preclinical NHP model

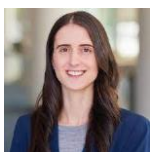
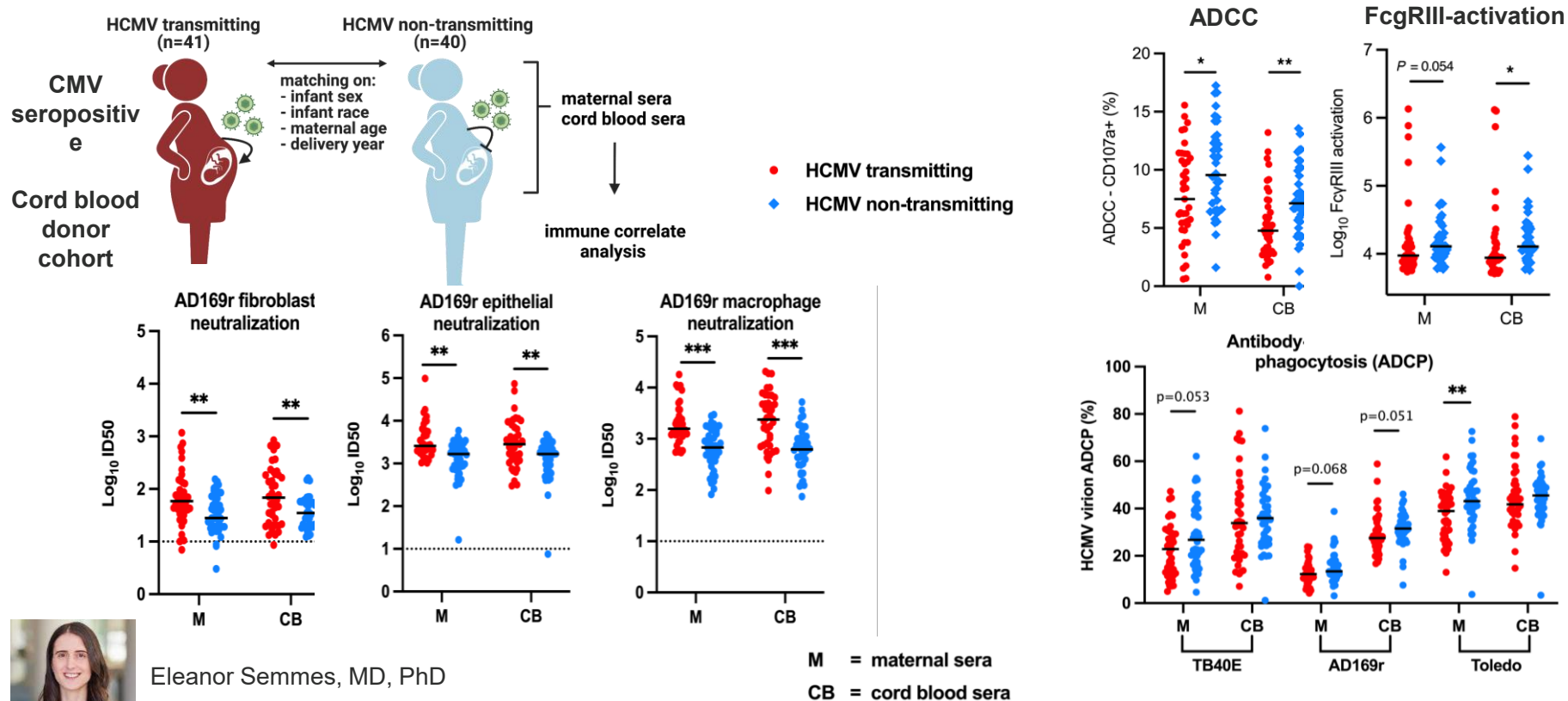


What antibody functions associate with protection from fetal CMV infection?



- Antigen binding
- Neutralization
- Antibody dependent cellular phagocytosis (ADCP)
- Antibody dependent cellular cytotoxicity (ADCC)

Maternal Fc-mediated non-neutralizing antibody responses correlate with protection against congenital CMV infection

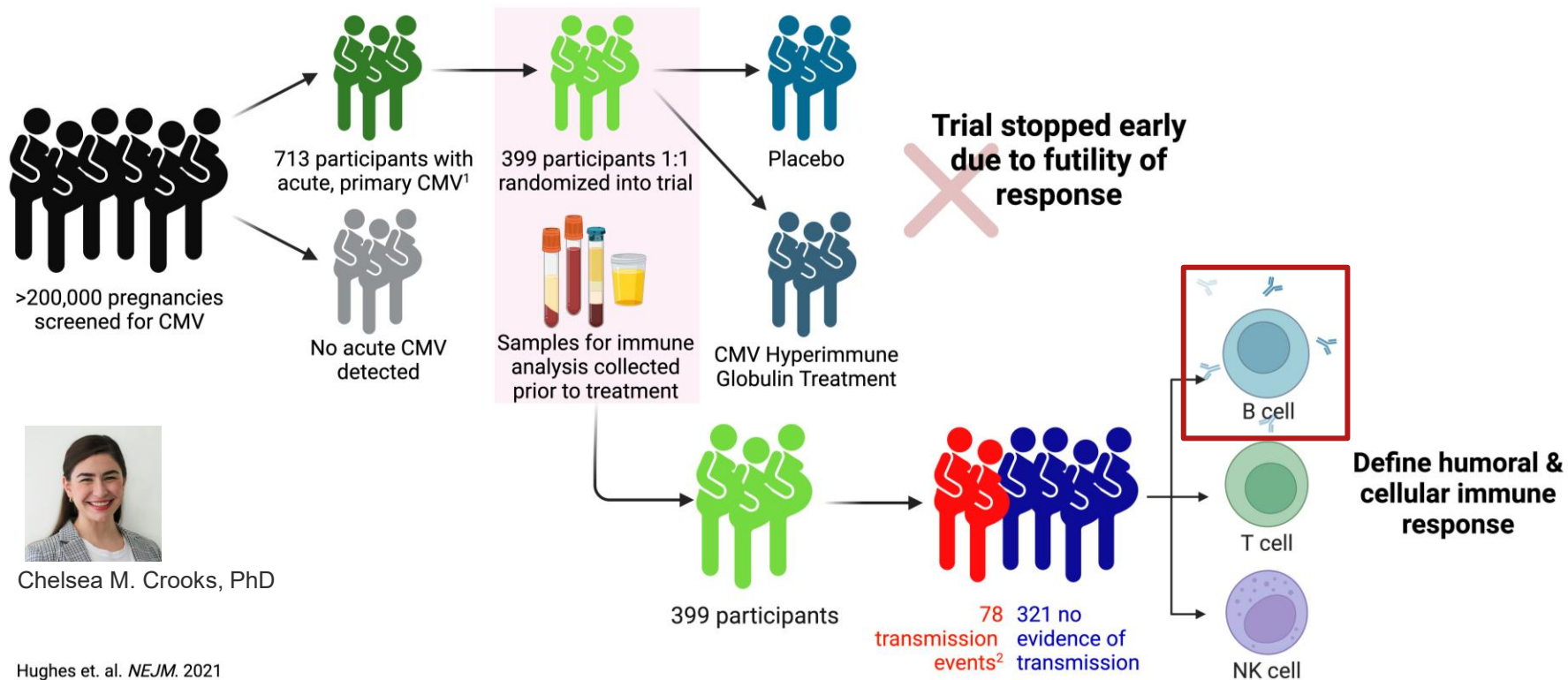


Eleanor Semmes, MD, PhD

Semmes, Permar et al. JCI, 2022

Defining immune correlates of protection against cCMV and disease

MFMU HIG Clinical Trial



Chelsea M. Crooks, PhD

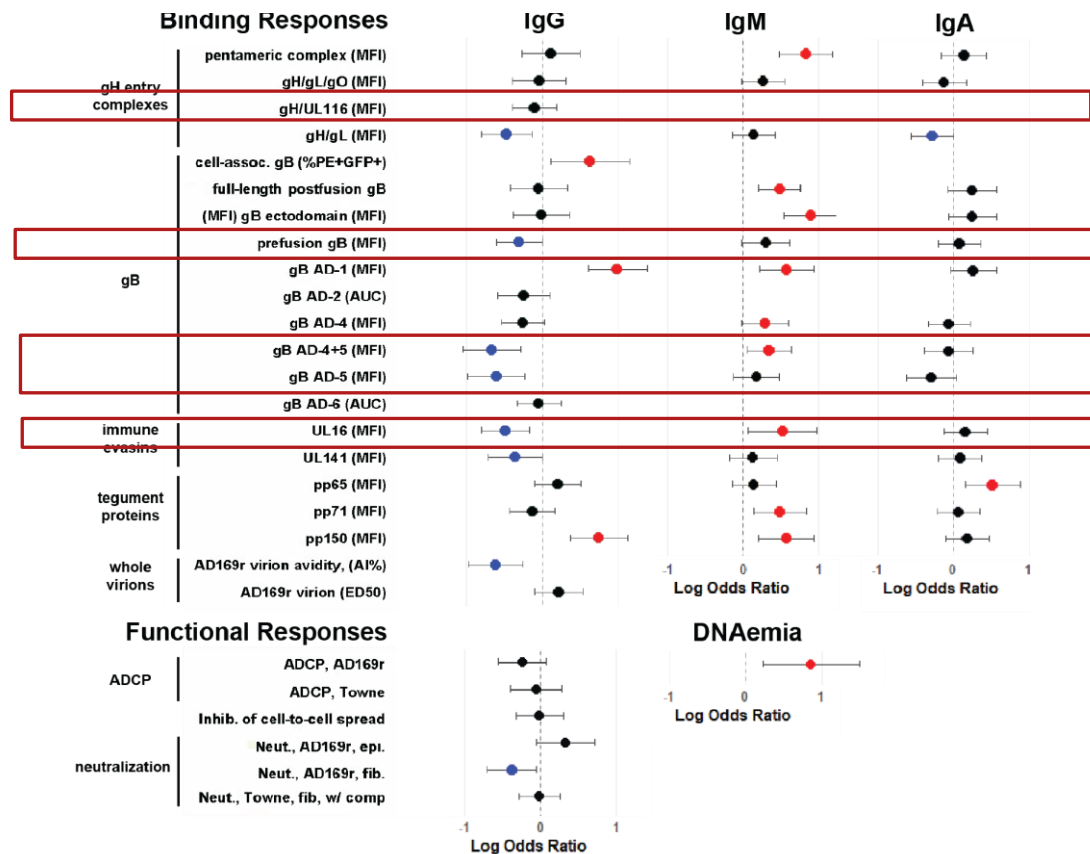
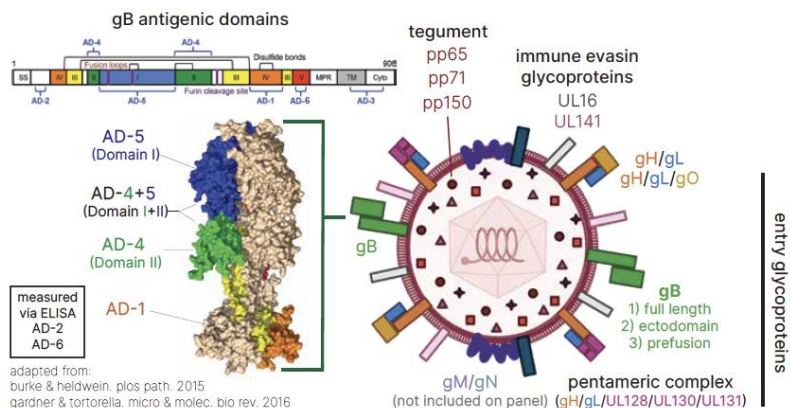
Hughes et. al. *NEJM*. 2021

¹Primary CMV Infection: positive IgM with low avidity IgG or evidence of maternal IgG seroconversion

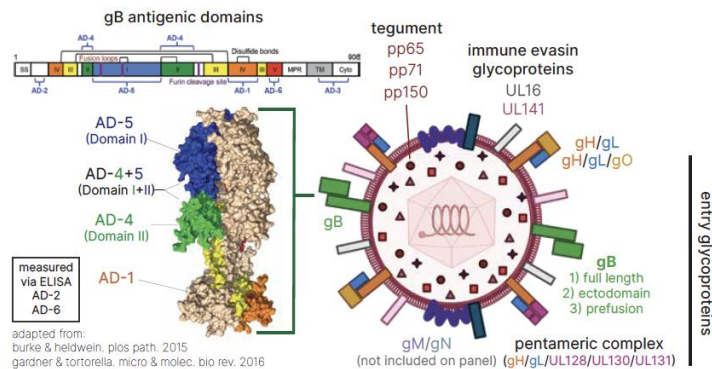
²Transmission: fetal loss with pathologic evidence of CMV infection or detection in amniotic fluid and/or infant urine or saliva prior to 21 days of life

Made in Biorender

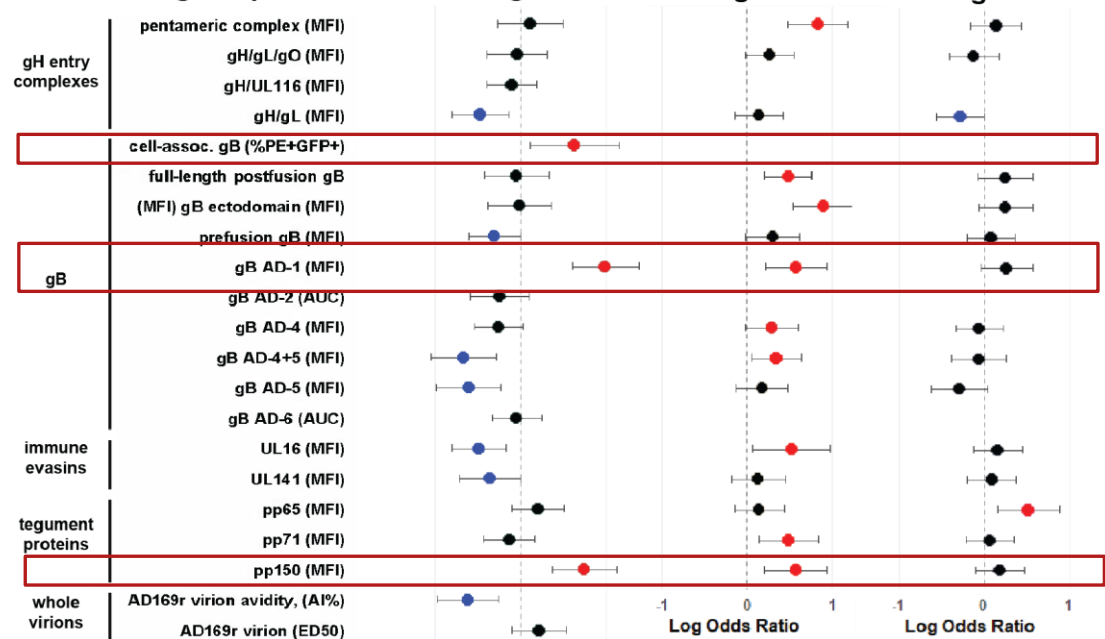
Humoral immune correlates of cCMV transmission following acute infection



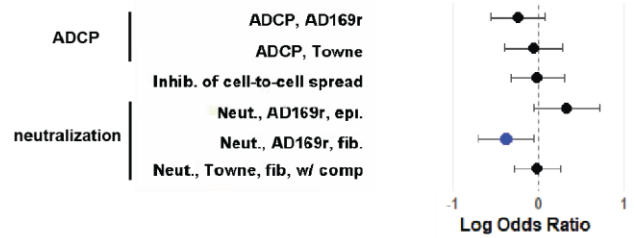
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Binding Responses



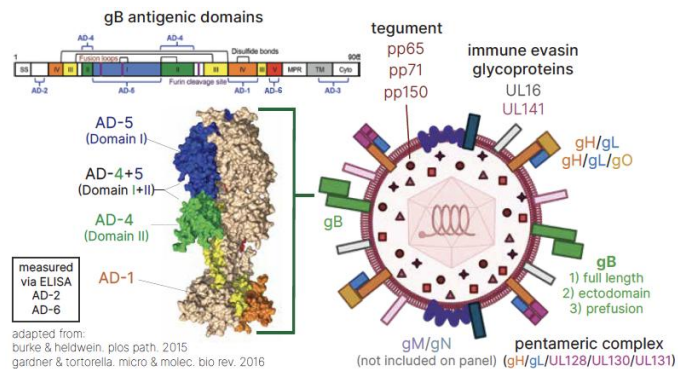
Functional Responses



DNAemia



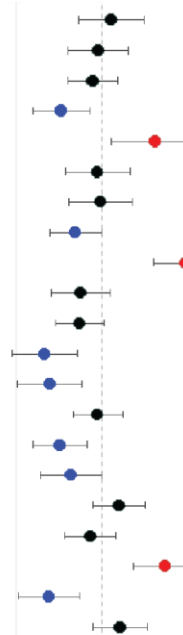
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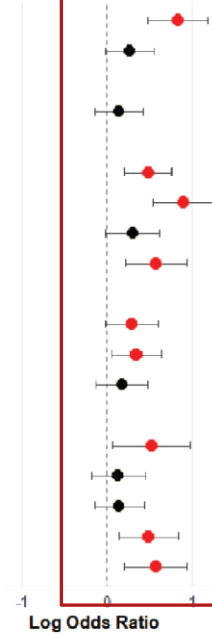
Binding Responses

gH entry complexes	pentameric complex (MFI)
	gH/gL/gO (MFI)
	gH/UL116 (MFI)
	gH/gL (MFI)
	cell-assoc. gB (%PE+GFP+)
gB	full-length postfusion gB (MFI)
	(MFI) gB ectodomain (MFI)
	prefusion gB (MFI)
	gB AD-1 (MFI)
	gB AD-2 (AUC)
	gB AD-4 (MFI)
	gB AD-4+5 (MFI)
	gB AD-5 (MFI)
	gB AD-6 (AUC)
	immune evasins
UL141 (MFI)	
entry glycoproteins	
tegument proteins	pp65 (MFI)
	pp71 (MFI)
	pp150 (MFI)
whole virions	AD169r virion avidity, (A1%)
	AD169r virion (ED50)

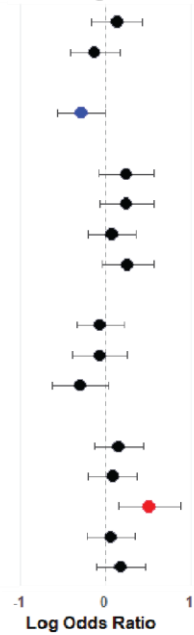
IgG



IgM



IgA



Functional Responses

ADCP	ADCP, AD169r
	ADCP, Towne
neutralization	Inhib. of cell-to-cell spread
	Neut., AD169r, epi.
	Neut., AD169r, fib.
	Neut., Towne, fib, w/ comp

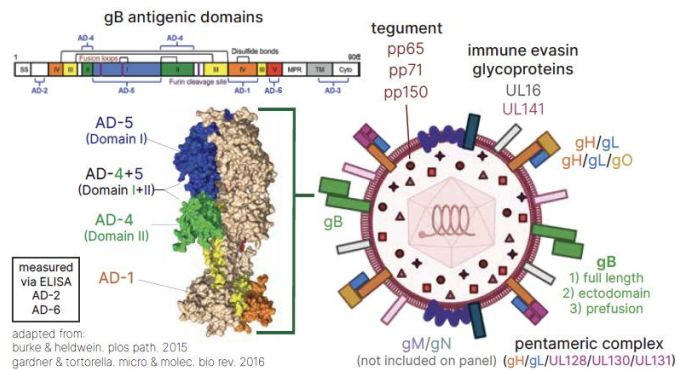
DNAemia



Log Odds Ratio

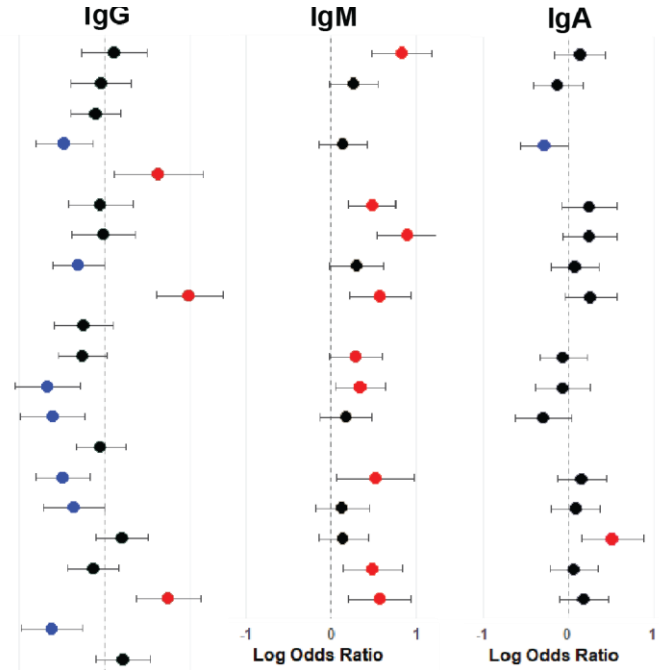
Log Odds Ratio

Humoral immune correlates of cCMV transmission following acute infection



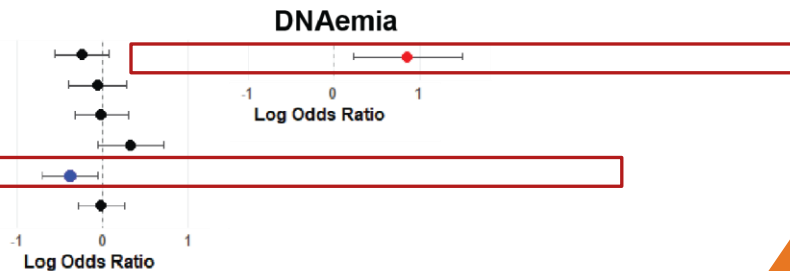
Binding Responses

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	gB AD-2 (AUC)
	gB AD-4 (MFI)
	gB AD-4+5 (MFI)
	gB AD-5 (MFI)
gB AD-6 (AUC)	
entry glycoproteins	UL16 (MFI)
	UL141 (MFI)
	pp65 (MFI)
	pp71 (MFI)
teins	pp150 (MFI)
	AD169r virion avidity, (A1%)
	AD169r virion (ED50)

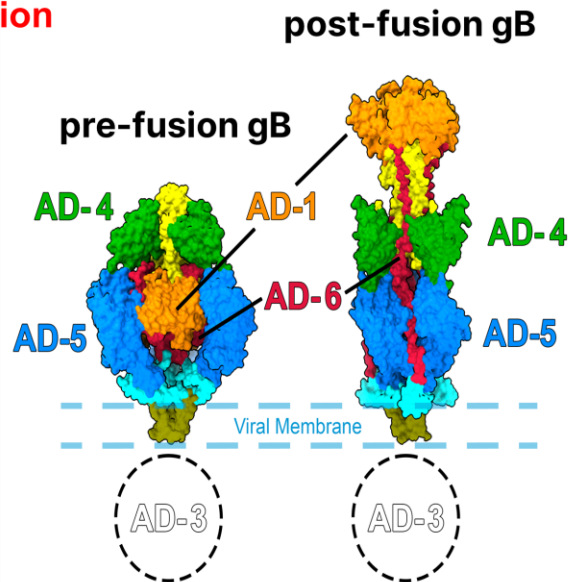
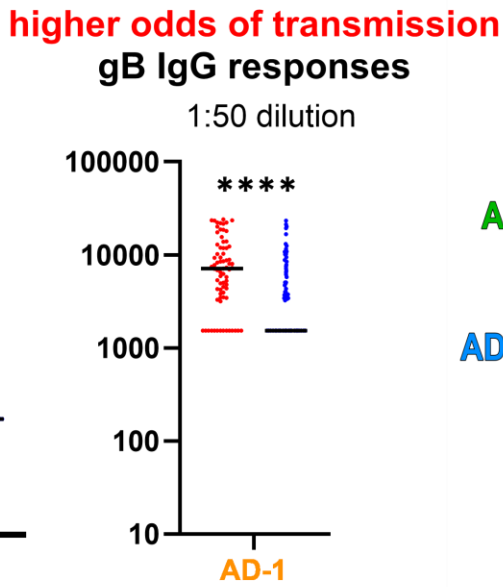
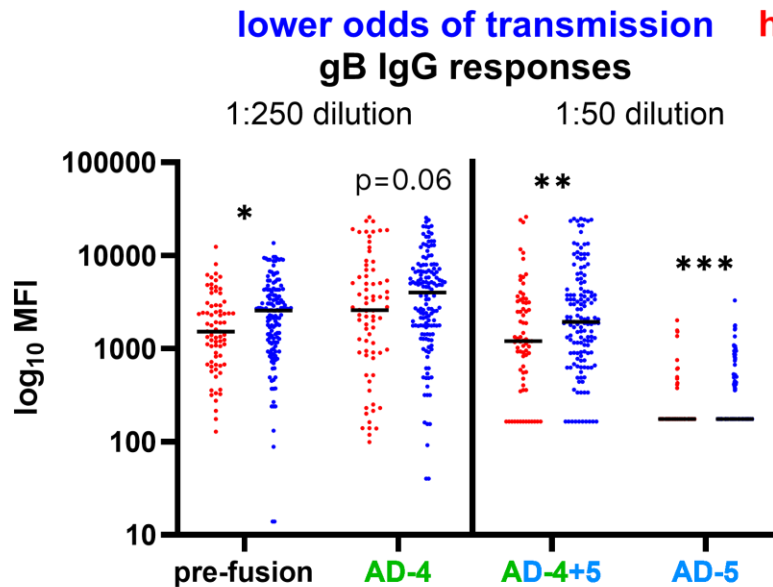


Functional Responses

ADCP	ADCP, AD169r
	ADCP, Towne
neutralization	Inhib. of cell-to-cell spread
	Neut., AD169r, epi.
neutralization	Neut., AD169r, fib.
	Neut., Towne, fib, w/ comp



Design gB antigens that enhance IgG response to domains associated with decreased risk of placental transmission



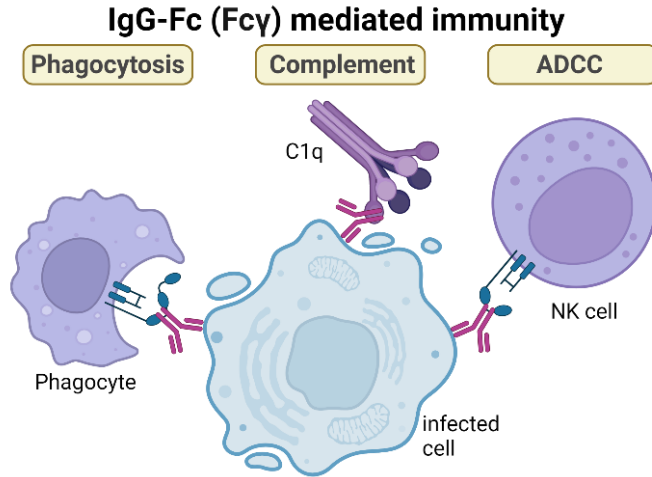
data generated by Adelaide Fuller
conditional logistic, likelihood ratio test, * $p < 0.05$, ** $p < 0.01$, *** $p < 0.001$, **** $p < 0.0001$

figure generated by Madeline Sponholtz
Karthigeyan et al. *JVI* 2025.

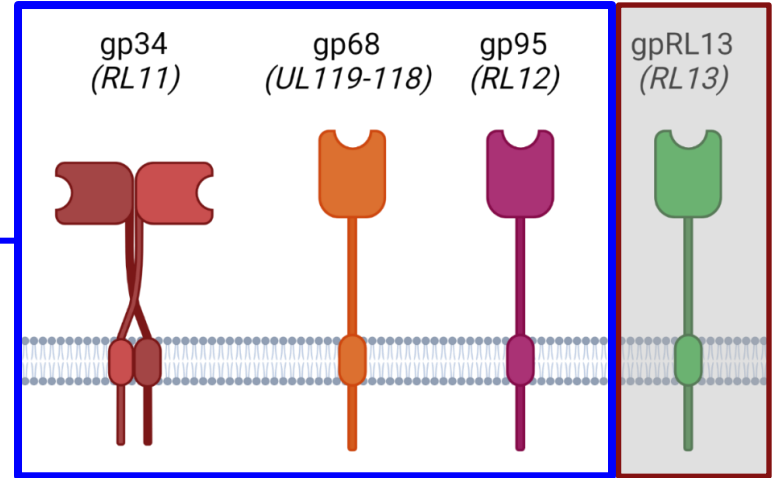
**How can anti-HCMV
immunity be rationally
enhanced, to be $>50\%$
protective?**



HCMV encodes IgG Fc-binding glycoproteins that interfere with IgG function



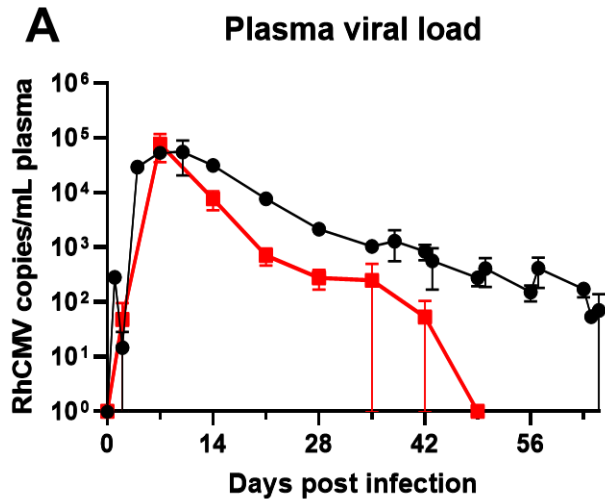
HCMV encoded vFcyRs



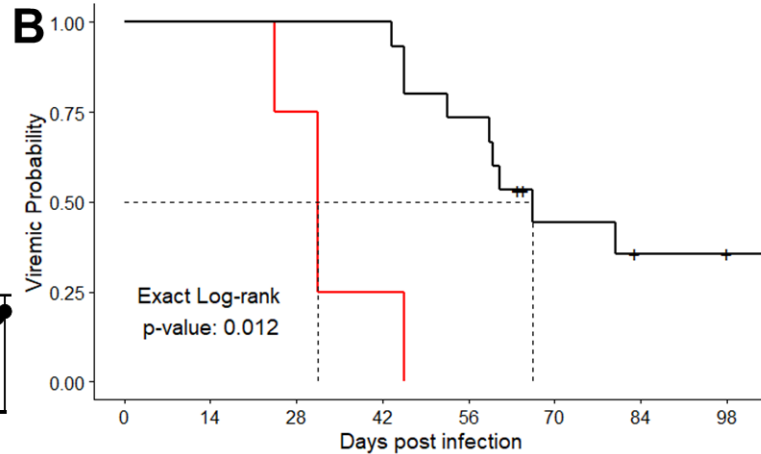
adapted from Corrales-aguilar et al. *Semin Immunopathol* 2014

vFcγR-deleted RhCMV is rapidly contained following primary infection

RhCMV negative macaques (i.v. infection)

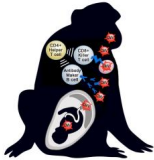


- vFcR-intact RhCMV (n = 15)
- vFcR-deleted RhCMV (n = 4)



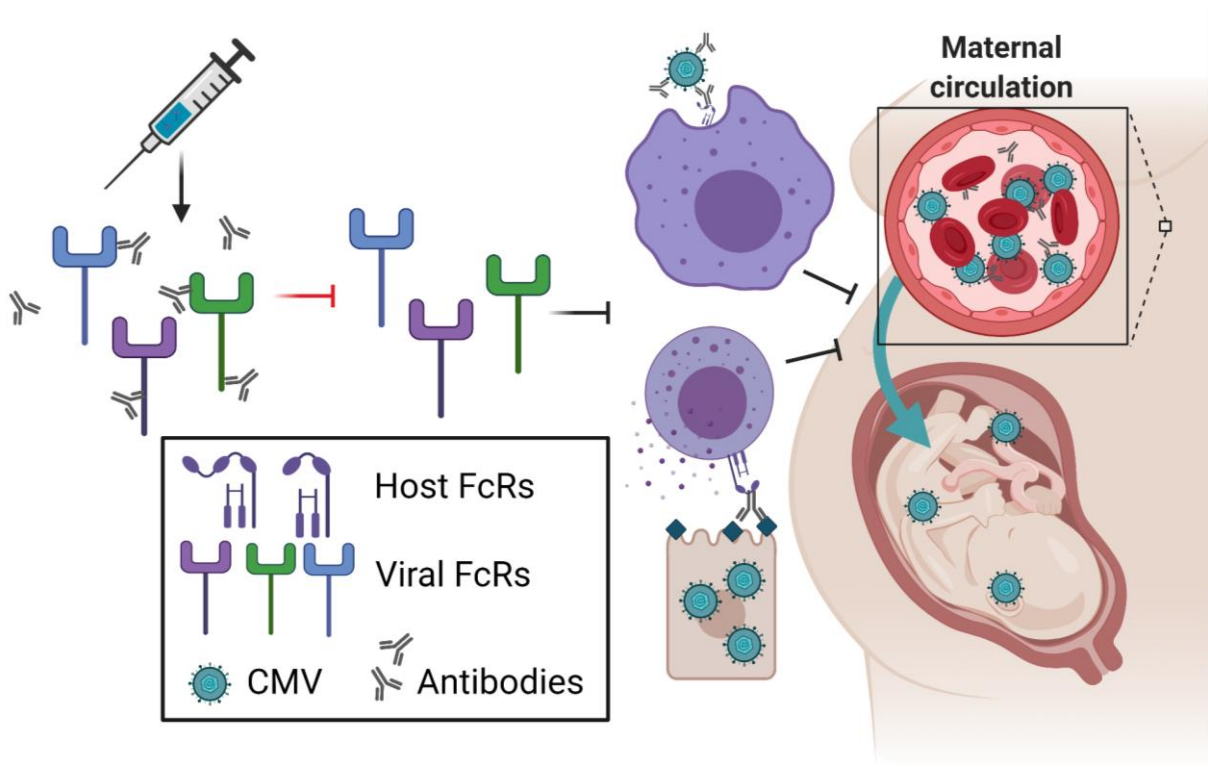
Number without viremic control

■	4	4	3	1	0	0	0	0
●	15	15	15	15	11	5	3	3
	0	14	28	42	56	70	84	98
	Days post infection							

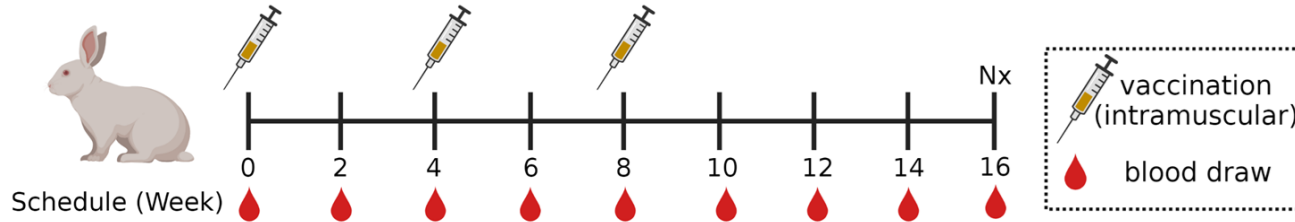


Can Fc mediated immunity be improved by targeting vFcγRs through vaccination?

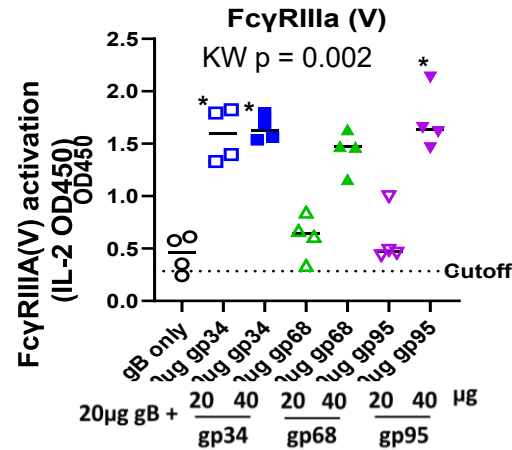
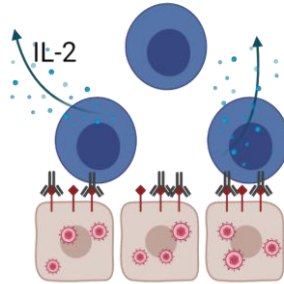
Goal: Exceeding natural immunity



Host FcR activation enhanced by inclusion of vFcγRs in a rabbit immunogenicity model



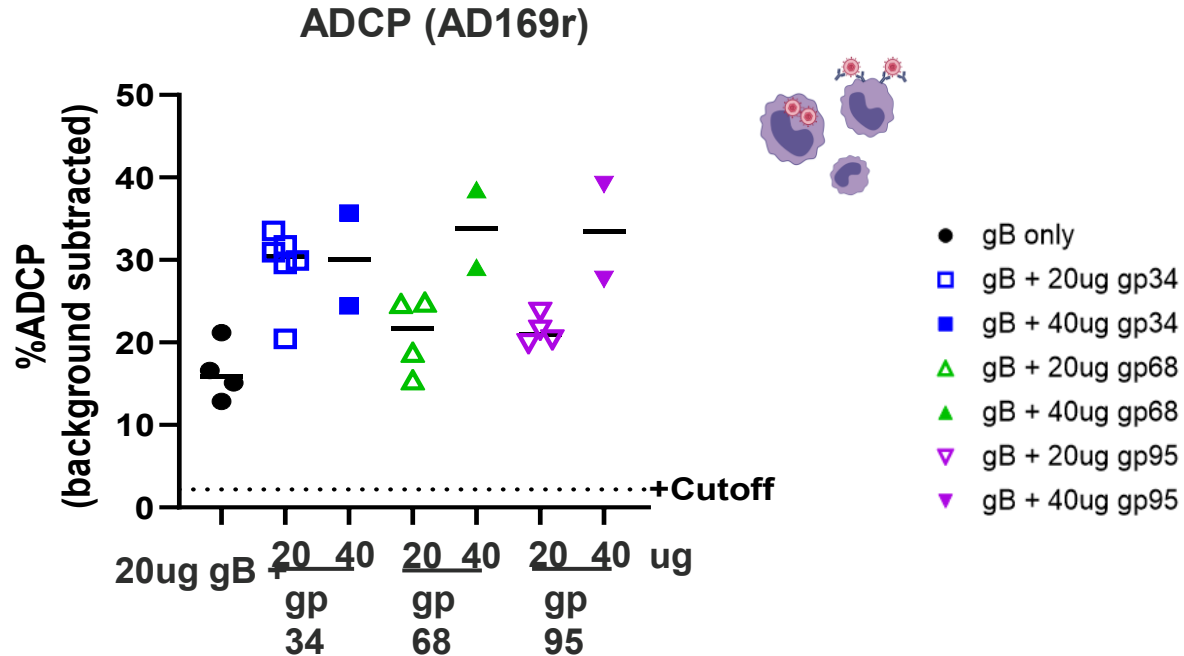
Project led by Claire Otero, PhD
 Diagrams created using Biorender
 Assay described in Corrales-Aguilar, et al.
 Journal of Immunological Methods, 2013



Week 10 post prime
 (peak immunogenicity)

Cutoff = AVG + 3SD of wk 0

Anti-CMV ADCP improved with addition of vFcRs to gB vaccination

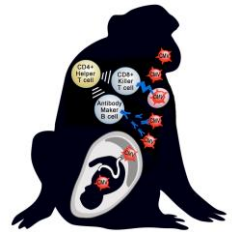
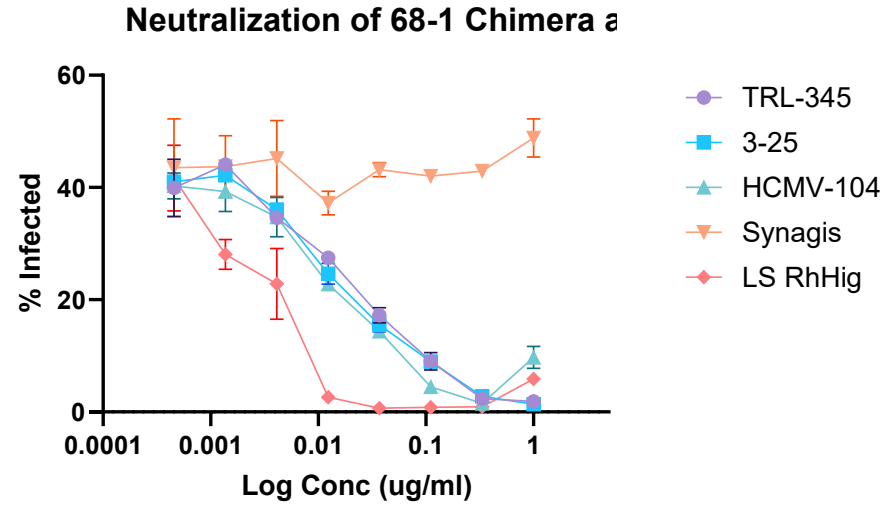
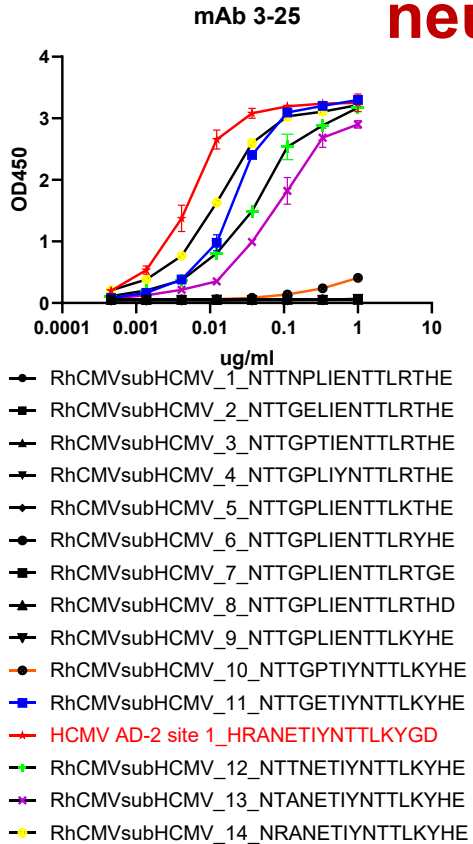


Next generation CMV vaccine design: Achieving >50% efficacy



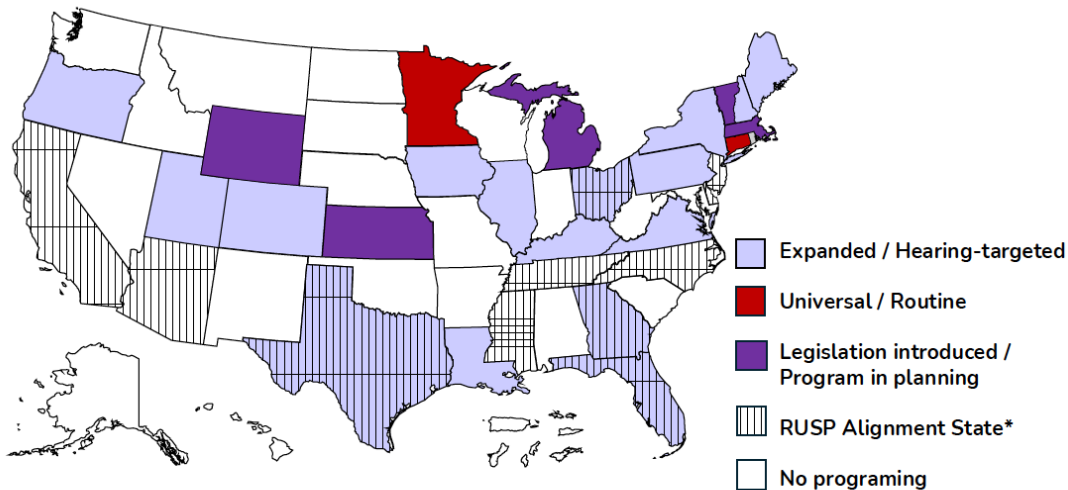
- **Antibody-based vaccines can be effective**
 - Fc-mediated effector function responses required
 - Use immune correlates of the endpoint that matters: cCMV
- **Optimize conformation of structure glycoproteins**
 - Prefusion and antigenic domain-based structural design of glycoproteins
 - Epitope display, based on immune correlate studies
- **Target the virus' immune evasion strategies**
 - vFcRs to improve Fc-mediated immunity
- **Use tractable animal models of CMV acquisition and placental transmission to derisk clinical vaccine trials**

Nonhuman primate model of anti-HCMV immunotherapy: RhCMV-HCMV chimeric viruses susceptible to anti-HCMV gB neutralizing mAbs



Daniel Malouli, Libby Mitchell, Sherry Wang

Advocacy for universal newborn cCMV testing in US



Lisa Saunders, cCMV mom and advocate
Daughter Elizabeth

1. Assembly Bill A3956: "Requires cytomegalovirus screening for every newborn..."

2. Assembly Bill A3074: "Requires reporting of positive cytomegalovirus results." Newborns "Shall be referred to a Pediatric Infectious Disease Specialist and hearing/eye exam before 4 weeks of age"



NY Assembly member
Linda Rosenthal
District 67



Actress Carrie Coon
cCMV mom



Dr. Mark Schleiss
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